

Clinical Commissioning Group Governing Body - 5 May 2021

Finance and Contracting Performance Report

Lead Executive:	Wendy Allott, Chief Finance Officer
Lead Officer:	Joanne Sarsby, Head of Financial Management
Lead GP:	Jason Page

Purpose:
To provide Governing Body the full year financial position as at 31 March 2021, also referred to as month 12.
Background:
CCGs operated under the temporary financial regime for the period 1 April to 30 September 2020 (month 1 to 6) with a facility for retrospective top up allocations where actual spend exceeded nationally calculated budgets. Months 7 to 12 moves into a financial framework which includes nationally calculated fixed funding envelopes for CCGs and system allocations for distribution to organisations within the ICS. The CCG's month 7 to 12 financial plan was approved at the November 2020 Governing body meeting. This paper combines the two regimes and provides Governing Body members a financial summary against the key categories of expenditure for the full year end position at 31 March 2021.
Analysis of key issues and of risks
Included in the report
Patient, Public and Stakeholder Involvement:
N/A
Equality Impact:
N/A
Financial Implications:
Included in the report.
Human Resource Implications:
N/A
Procurement Advice:
N/A
Data Protection Impact Assessment:
N/A
Approval history:
N/A
Recommendations:
Governing Body is asked to note the current position and the additional commentary to support the operating cost statement.
Paper is for Approval / Noting / Discussion / Advice

1. Revenue Resource Allocation

The CCG has received allocations totalling £0.5m in-month, resulting in a full year allocation of £482.4m. Further detail is provided at Appendix 1

2. Cash

	Apr-20	May-20	Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21
	£'m	£'m	£'m	£'m	£'m	£'m	£'m	£'m	£'m	£'m	£'m	£'m
Monthly Cash drawings	67.2	37.3	33.0	30.0	31.0	33.5	32.0	42.8	39.0	41.5	37.0	13.6
Ledger Cash Balance	5.3	10.7	10.9	8.6	7.5	7.3	3.9	4.5	3.2	3.5	2.8	0.02
Cash Balance as a %	7.9%	28.7%	33.0%	28.7%	24.2%	21.8%	12.2%	10.5%	8.1%	8.4%	7.6%	0.1%

CCGs are allocated an Annual Cash Drawdown (ACD) figure from the NHS England's Cash Management Team. The CCGs notified ACD for 2020-21 at month 12 is £483.4m.

3. Better Payment Practice Code

NHS Rotherham CCG has signed up to the Prompt Payment Code administered by the Institute of Credit Management which requires the CCG to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later. Performance to the end of March was:

	Number of Invoices	Value of Invoices
April 20 - March 21		
Percentage of Non-NHS Trade invoices paid within target	99.97%	99.97%
Percentage of NHS Trade invoices paid within target.	100.00%	100.00%

Whilst the target for paying suppliers within 30 days remains, the temporary financial regime continues to require NHS bodies to also comply with the Cabinet Office procurement policy note PPN04/20 (Updated July 2020) which amongst other things seeks to accelerate payment of valid, undisputed invoices to within 7 days, alongside shortening timelines for resolving disputed invoices.

4. Reporting of Historical Control Total

NHSE require CCGs to report a historical control total. No drawdown was received in 2020/21 and therefore the CCG has a brought forward historical drawdown of £14.5m.

5. Operating Cost Statement (OCS)

The CCGs overall final position is a surplus of £1.078m. This was the position also reported in month 11 with the under-spend relating in the main to two areas 1) Continuing Healthcare (CHC) and Funded Nursing Care and 2) slippage on Prescribing Projects.

	Month 12 Final Position		
	Budget £'000	Actual £'000	Variance £'000
<u>Acute Services</u>			
Rotherham NHS Foundation Trust - Acute	186,070	186,070	0
Sheffield Teaching Hospitals NHS FT	26,667	26,667	(0)
Doncaster & Bassetlaw Hospitals NHS FT	10,108	10,108	(0)
Other NHS Contracts	4,813	4,813	(0)
Ambulance Services (including PTS and 111)	13,033	13,047	14
Other Non NHS Acute Services	1,528	1,482	(46)
Other Non Contract (including NCA's)	1,242	1,255	13
Sub total Acute Services	243,461	243,442	(19)
<u>Mental Health & Learning Disability</u>			
Rotherham, Doncaster & South Humber FT	35,087	35,087	0
Other Providers (Mental Health & LD)	10,303	10,236	(66)
Sub total Mental Health & LD	45,390	45,323	(66)
<u>Community Services</u>			
Rotherham NHS Foundation Trust - Community	29,912	29,912	0
Rotherham Hospice	4,177	4,174	(3)
Other Providers (Community)	2,562	2,558	(3)
Sub total Community Services	36,652	36,645	(6)
<u>Primary Care</u>			
Prescribing	49,505	49,322	(182)
Commissioned Primary Care Services(Delegated)	41,173	41,178	5
Commissioned Primary Care Services(Other)	6,798	6,729	(69)
GP Information Technology	1,454	1,443	(11)
Sub total Primary Care Services	98,930	98,673	(257)
<u>Other Programme Services</u>			
Local Authority / Joint Services	14,253	14,235	(18)
Continuing Care & Funded Nursing Care	34,375	33,612	(763)
Voluntary Sector Grants / Services	2,257	2,293	37
Sub total Other Programme Services	50,885	50,140	(745)
<u>Corporate</u>			
Corporate : Running Costs	4,555	4,533	(22)
Corporate : Non- Running Costs	2,528	2,567	39
Sub total Corporate	7,083	7,099	16
Sub total - all areas	482,400	481,322	(1,078)
<u>Central</u>			
Additional Roles Reimbursement Scheme	0	0	0
Centrally held Budgets	(0)	0	0
Sub total Central	(0)	0	0
TOTAL (Surplus)/ Deficit	482,400	481,322	(1,078)

Appendix 1 – Allocations

Allocation Type	Recurrent £'000	Non Recurrent £'000	Total £'000
Published Allocations	443,441		
Temporary finance regime	-443,441		
Month 1 to 6 budget based on actual spend (excluding Month 6 retrospective allocation)		227,129	227,129
Month 7 to 12 (Oct-Mar) CCG fixed envelope - nationally calculated		220,638	220,638
Month 7 to 12 (Oct-Mar) RCCG share of system envelopes		2,397	2,397
Month 7 to 12 SDF - Confirmed at planning stage		1,117	1,117
Month 7 to 12 (Oct-Mar) TRFT share of system envelope including top-up		19,979	19,979
	0	471,260	471,260
<u>In year allocations</u>			
SDF - Learning Disabilities Mortality Review Programme (LeDeR)		4	4
Digital Primary Care (DPCT1-20/21-5-SYB-04) & (DPCT1-20/21-6-SYB-05)		250	250
LD Long Term Planning Funding 20/21 (Bid 7 South Yorkshire TCP)		35	35
GPN Funding 20/21 for preceptorship Training for Newly Qualified General Practice Nursing Associates		27	27
DA Funding to Rotherham Doncaster & Sth Humber C&MH		1,587	1,587
Winter Pressures		93	93
Flash Glucose Offer to Patients with Learning Disability		11	11
Impact and Investment Fund		114	114
Care Homes Premium		108	108
Increase in practice funding		47	47
Children's & Young People's Palliative and End of Life Care Match Funding 20/21		14	14
Month 6 Non-COVID retrospective allocation adjustment		971	971
Month 6 COVID retrospective allocation adjustment		1,125	1,125
GPFV GP Retention		49	49
GPFV Online Consultation		63	63
GPFV Practice Resilience		33	33
GPFV Reception & Clerical		42	42
GPFV Primary Care Networks		163	163
General Practice Covid Capacity Expansion Fund		690	690
Ageing Well - EHCH training and development funding		10	10
HSLI passthrough funding to The Rotherham NHS Foundation Trust. Re: EPR – RHR/YHCR		19	19
HSLI passthrough funding to The Rotherham NHS Foundation Trust. Re: PaperFree 2020 and Clinical Noting		49	49
Clinical Leads Oximetry @Home		10	10
Diabetes Treatment and Care		31	31
Suicide prevention funding		49	49
SMI Outreach		20	20
Inpatient discharge flow proposal - RDASH (passthrough)		240	240
Wellbeing Support & Staff Training for Critical Care - TRFT pass through		45	45
Out of Envelope Reimbursement Mth7 & Mth8 - Hospital Discharge Programme		2,098	2,098
Out of Envelope Reimbursement Mth7 & Mth8 - Flu Vaccine (additional venues & cold chain only) M7 onwards		38	38
Pension (6.3% uplift) based on Mth09 BSA data and forecast for full year		258	258
Flash Glucose Monitoring – Final Annual Allocation.		115	115
Ageing Well		56	56
IAPT		20	20
Long covid clinic allocation		43	43
CYP Suicide Bereavement Support		5	5
Perinatal MOCT		21	21
Adult MH Crisis & CHRTT		34	34
Inpatient discharge flow proposal		36	36
Progression Annex Covid 19		16	16
RM Licences		38	38
Privileged Access Management (PAM) - THE ROTHERHAM NHS FOUNDATION TRUST		10	10
Out of Envelope Reimbursement Mth9 - Hospital Discharge Programme		1,118	1,118
Out of Envelope Reimbursement Mth9 - Flu		15	15
M10 Reimbursement Hospital Discharge Programme		297	297
FOT Interim allocation for reimbursement Hospital Discharge Programme		972	972
M10 Reimbursement Acute IS		243	243
FOT interim allocation for reimbursement Acute IS		140	140
M10 Reimbursement - Flu (additional venues & cold chain)		-6	-6
RETRO Prescribing Clawbacks (above 2% of BSA spend)		-861	-861
Digital First Primary Care Funding		-50	-50
Remote monitoring software licences.		4	4
Rotherham FT Passthrough Payment – Improvement Programme Financial Support		200	200
SEND Project Bid		10	10
Data Quality Investments (Rotherham FT)		3	3
Final fair share GPIT GPFV Infrastructure and Resilience		22	22
M11 Reimbursement Hospital Discharge Programme		-265	-265
Out of Envelope Reimbursement Mth11- Acute IS		59	59
Annual Leave Accrual Allocation agreed		8	8
ARRS Allocation Adjustment		468	468
M12 Reimbursement correction - Hospital Discharge Programme		18	18
M12 Reimbursement correction - COVID-19 Vaccination Programme		17	17
M12 Reimbursement correction - Acute IS		41	41
Total Allocation	0	482,400	482,400