NHS Rotherham Clinical Commissioning Group

Primary Care Sub Group – 25 March 2020

Primary Care Committee – 8 April 2020

Finance Report for Month 11 ending 29 February 2020

Lead Executive:	Wendy Allott, Chief Finance Officer
Lead Officer:	Louise Jones, Deputy Head of Financial Management
Lead GP:	Dr Avanthi Gunasekera, SCE GP Lead for Primary Care

Purpose:

To inform members of the month 11 financial position

Background:

The Primary Care Co-commissioning budget of £38.13m was approved at the April 2019 Committee.

Primary Care Delegation Responsibility:

Does this paper relate to Rotherham CCG or delegated business?

Rotherham CCG	
Delegated	
Both Rotherham CCG and delegated	✓

Please indicate which area of delegated responsibility this paper covers:

Commissioning, procurement and management of GMS,PMS and APMS contracts including taking contractual action	
Newly designed enhanced services (including DES)	
Local incentive schemes	
Discretionary payments	
Commissioning urgent care for out of area registered patients	
Planning Primary medical care services (PMCS)	
Managing practices with CQC / quality concerns	
Decisions on premise cost directions	
Planning the commissioning of PMCS	
Manage the delegated allocation for commissioning of PMCS	✓
Assurance to the governing body on the quality and safety of PMCS	

Please indicate which of the Delegated Duties Decisions this paper requires:-

• Delegated Duties – iii – Decisions in relation to the establishment of new GP practices (including branch surgeries) and closures of GP practices.	
• Delegated Duties – iv –Decisions about 'discretionary payments'.	
• Delegated Duties – v – Decisions about commissioning urgent care (including home visits as required) for out of area registered patients.	
• Delegated Duties – b – The approval of practice mergers.	

investor in excellence

Analysis of key issues and of risks

1. In Year Financial Position and Forecast Outturn

Table 1 sets out the year to date variance at Month 11 and the forecast outturn. The table is split into two;

(i) £38.13m allocation falling under the responsibility of the Primary Care Committee (PCC)
(ii) £6.72m from the CCG's commissioning allocation which does not fall within the PCC remit (for information). This includes an allocation of £0.45m from NHS Digital. A further £0.2m has also been made available for non recurrent GP IT investments.

Table 1 - Primary Care monthly finance position.	19/20 Approved Plan £m	Variance at Month 11 (under) / over £m	FOT Variance (under) / over £m	
PMS/ GMS/ APMS Contract & QOF	29.07	(0.12)	(0.13)	*Note 1*
Additional Roles, Clinical Lead, PC Network Participation	1.00	(0.11)	0.00	*Note 2*
Primary Care ES, PMS Premium reinvestment e.g Quality contract	2.44	0.03	0.03	*Note 5*
Direct reimbursement to Practices for Premises/Rent	3.06	0.06	0.05	
Seniority payments, professional fees, discretionary spend	0.95	0.00	0.03	*Note 3*
Central GP Services e.g. clinical waste, interpreter fees etc.	0.34	(0.01)	(0.00)	
NHS Property Services void space and subsidies	0.48	0.03	0.03	
Central Budget	0.78	(0.32)	(0.05)	*Note 4*
Total: Delegated primary care medical services	**38.13**	**(0.44)**	**(0.04)**	
CCG Local Enhanced Services	2.44	(0.09)	(0.10)	*Note 5*
GP IT	1.36	0.00	0.00	
Commissioning and Prescribing LIS	0.54	0.00	0.00	
PCN Administration fee £1.50 p/ head	0.40	0.00	0.00	
Improving Access	1.60	0.00	0.00	
Other	0.38	0.00	0.00	
Total: Other primary care	**6.72**	**(0.09)**	**(0.10)**	
TOTAL ALL	**44.85**	**(0.53)**	**(0.14)**	

Note 1 – Contract & QOF

Budgets are set on list sizes in January of the previous financial year and adjusted for growth in April, July, October and January of the current financial year. Growth in 18/19 was 0.7%. Following the final adjustment for 19/20, growth has out-turned at 0.3% resulting in an underspend of £0.13m.

Note 2 - Additional Roles

Primary Care Networks (PCN's) can claim for an additional Clinical Pharmacist (CP) and an additional Social Prescribing Link Worker (SPLW) over and above their current staffing levels in these posts at 31st March 2019. The CCG will reimburse 70% of the gross cost of a CP and 100% of the SPLW up to specified values determined by NHSE.

PCNs have recruited their allocation of CPs and SPLWs for 2019/20 and also brought forward recruitment of 2020/21 posts where possible, however £0.12m remains unspent.

Following the latest NHSE guidance, this underspend can now be used to support PCN recruitment exercises and increase reimbursement to 100% in any of the 10 new roles appointed to from 17th February. The CCG will allocate the underspend on a per capita basis to PCNs to be used for these purposes.

investor in excellence

Note 3 – Rates

The forecast for rates is adjusted each month based on charges incurred to date and is currently overspending due to backdated claims.

Note 4 – Central Budget

After funding previously agreed investments, in year overspends, and year-end accruals, £0.05m remains uncommitted at month 11.

Note 5 – Local Enhanced Services, Enhanced Services and Quality Contract

Table 3: BREAKDOWN OF SCHEMES	Annual Budget	YTD Budget	YTD Actual (Feb 2020)	YTD overspend/ (underspend)	FOT Spend	FOT overspend/ (underspend)
	£m	£m	£m	£m	£m	£m
PMS Reinvestment LESs	0.47	0.43	0.42	(0.01)	0.46	0.00
Quality Contract	1.43	1.31	1.32	0.01	1.44	0.00
National Enhanced Services (ES)	0.54	0.50	0.53	0.03	0.57	0.03
TOTAL Delegated	**2.44**	**2.24**	**2.27**	**0.03**	**2.47**	**0.03**
Case Management, Over 75's & Care Homes	1.35	1.24	1.22	(0.02)	1.33	(0.02)
All other LESs (Core)	1.09	1.00	0.92	(0.08)	1.01	(0.08)
TOTAL Other LESs	**2.44**	**2.24**	**2.14**	**(0.09)**	**2.34**	**(0.10)**
TOTAL ALL LESs	**4.88**	**4.48**	**4.41**	**(0.06)**	**4.81**	**(0.07)**

Significant variances attributable to:

(i) The anticoagulation LES where activity levels are reducing as patients move off warfarin and onto new anticoagulants that don't require monitoring.

(ii) Overspend on National Enhanced Services relates to over-performance on the Learning disability health check across the majority of practices

2. 2020/21 PMS / GMS reinvestment / Innovation fund

The CCG has a ring-fenced primary medical services allocation, delegated from NHSE. In any one year the national guidance and rules are worked through and budgets are set for necessary line items (e.g. GMS/PMS contract, DES's, QOF, Property reimbursements, etc.), with residual monies having currently been utilised to create an Innovation Fund.

Over recent months the Primary Care Committee has approved Innovation Funding for a number PCN projects. In light of the COVID-19 pandemic it is likely these decisions will need to be revisited for example where mobilisation of the agreed projects proves to be an issue. In these circumstances CCG Officers will discuss with PCC the most appropriate, alternative use of the funds.

Patient, Public and Stakeholder Involvement:
No new issues
Equality Impact:
N/A
Financial Implications:
Outlined in the report
Human Resource Implications:
N/A
Procurement Advice:
N/A

investor in excellence

Data Protection Impact Assessment:
N/A
Approval history:
The 2019-20 Financial Plan was approved at the Committee in April 2019.
Recommendations:
Members of the Primary Care Committee are asked to note the financial position and supporting information provided in the report.
Paper is for noting

investor in excellence