

Finance & Contracting Performance Report: *Period ended 31st December 2014*

1 Revenue Resource Allocation

NHS Rotherham has been notified of a revenue resource allocation of £347.2m for operational purposes. The allocation has increased in £1.1m for the Quality Premium and Mental Health winter resilience. There is an £11m non-recurrent allocation which relates to the return of previous years' strategic investment fund (SIF). This is not cash backed and therefore is not included in the OCS for reporting purposes.

2 Cash

	Apr-14	May-14	Jun-14	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14
Monthly Cash Drawings	£26m	£24m	£24m	£24.7m	£24.5m	£23m	£25m	£25m	£23m
Ledger Cash Balance	£385k	£818k	£859k	£736k	£1,921k	£508k	£1,700k	£2,472k	£315k
Cash Balance as % of Drawings	1.48%	3.41%	3.58%	2.98%	7.84%	2.21%	6.80%	9.88%	1.40%

CCG's are not allocated Cash Resource Limits but instead negotiate a Maximum Cash Drawdown (MCD) figure with the NHS England Cash Management Team. The CCG's MCD is currently £346m but is subject to revision. This figure includes BSA Drugs.

The percentage of total MCD utilised as at December 2014 is 72.6%.

3 Better Payment Practice Code

NHS Rotherham CCG has signed up to the Prompt Payment Code administered by the Institute of Credit Management which requires the clinical commissioning group to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later.

	2014-15	2014-15
Period : April to December 2014	Number of invoices	Value of invoices
Percentage of non-NHS trade invoices paid within target	99.33%	99.27%
Percentage of NHS trade invoices paid within target	99.16%	99.91%

4 QIPP Position –

Four of the five schemes are achieving as planned or better. Non electives are under achieving.

QIPP Plans	2014/15 Plan	2014/15 FOT	Under/ (Over)- achievement
	£'000	£'000	£'000
Medicine Management	(1,932)	(2,376)	(444)
Unscheduled Care	(1,324)	1,017	2,341
Clinical Referrals	(3,400)	(4,034)	(634)
Mental Health	(350)	(350)	0
Corporate Services	(99)	(159)	(60)
Total	(7,105)	(5,902)	1,203

- The QIPP position is monitored by specialty through the Contract Performance Meeting between RCCG and TRFT;
- The QIPP position has been escalated to the Strategic Contract Meeting attended by RCCG/TRFT Executives and the Chief Executive at TRFT.
- A Contract query has been issued to TRFT for Emergency Admissions and a remedial action plan is underway.
- The System Resilience Group and Clinical Referrals Management Committee have prioritised these issues.

5 Secondary Care Activity and Finance (including QIPP) Position

Activity and finance position at The Rotherham NHS FT for the acute contract is reported using Month 8 flex data which is subject to change. The overall performance to date is £1m over plan; this includes assumptions where RCCG believes the Trust could manage the position by the end of the financial year. The main areas of over-performance that are being analysed jointly by the CCG and the trust are detailed in the table below. The actions being taken have been included in the performance report.

	Performance against 2014/15 Planned Contract								Performance against previous year 2013/14		
	Planned	Actual	Activity	Planned	Price	Price	%	%	2013/14		
	Activity	Activity	Variance	Price	Actual	Variance	Activity	£	Activity April to November	Increase/ (Decrease)	% Change
Day Cases and Elective											
Urology	2,882	3,365	483	1,623,981	1,793,256	169,275	17%	10%	2,978	387	13%
Trauma & Orthopaedics	2,216	2,256	40	5,804,765	6,014,027	209,262	2%	4%	2,129	127	6%
ENT	319	367	48	357,560	426,142	68,582	15%	19%	343	24	7%
Ophthalmology	3,200	3,489	289	1,781,338	1,851,227	69,889	9%	4%	2,759	730	26%
General Medicine	1,143	1,294	151	742,168	940,762	198,594	13%	27%	1,207	87	7%
Clinical Haematology	773	814	41	418,397	458,441	40,045	5%	10%	736	78	11%
Obstetrics	255	340	85	448,673	584,339	135,665	34%	30%	209	131	63%
	10,786	11,925	1,139	£11,176,882	£12,068,193	£891,312	11%	8%			
Outpatient First Attendance											
Breast Surgery	1,355	1,536	181	210,527	235,727	25,200	13%	12%	1,341	195	15%
Trauma & Orthopaedics	3,540	3,883	343	460,239	519,025	58,786	10%	13%	4,194	-311	-7%
Dermatology	2,800	3,138	338	298,444	338,445	40,001	12%	13%	2,744	394	14%
Respiratory Medicine	922	1,068	146	160,695	185,629	24,934	16%	16%	818	250	31%
	8,617	9,625	1,008	£1,129,905	£1,278,826	£148,921	12%	13%			
Emergency Admissions											
General Surgery	2,037	2,265	228	3,520,507	4,019,863	499,356	11%	14%	2,056	209	10%
Trauma & Orthopaedics	727	866	139	2,207,240	2,509,150	301,910	19%	14%	785	81	10%
General Medicine	4,560	4,779	219	7,500,829	8,275,973	775,143	5%	10%	4,480	299	7%
Threshold Adjustment	0	0	0	0	-230,938	-230,938					
	7,323	7,910	587	£13,228,576	£14,574,047	£1,345,471	8%	10%			
Performance against First to Followup Ratio's											
			Follow-up over agreed ratio	Tariff	Reduction						
Urology	2.20	2.52	583	£72	-£41,896						
Trauma & Orthopaedics	3.09	3.13	180	£72	-£12,978						
ENT	1.52	1.58	199	£64	-£12,705						
Ophthalmology	2.63	3.47	5,126	£61	-£310,680						
Barnsley Ophthalmology	5.67	8.14	54	£61	-£3,284						
Paediatric Ophthalmology	4.70	4.70	0	£103	-£23						
Paediatric Respiratory Medicine	2.15	2.17	0	£155	-£16						
Paediatric Rheumatology	2.35	3.93	22	£101	-£2,238						
General Medicine	2.34	2.98	821	£104	-£85,129						
Gastroenterology	2.07	2.22	161	£104	-£16,709						
Clinical Haematology	7.70	8.07	165	£114	-£18,795						
Rehabilitation	2.48	19.12	948	£66	-£62,786						
Cardiology	1.46	2.11	946	£95	-£89,442						
Anticoagulant Service	44.66	68.53	3,844	£5	-£19,219						
Respiratory Medicine	1.60	1.64	49	£105	-£5,096						
Paediatrics	1.94	2.52	788	£126	-£99,600						
Geriatric Medicine	2.31	3.49	464	£138	-£63,919						
Chemical Pathology	1.37	1.37	1	£104	-£84						
			14,353		-£844,599						

5 Other Services - key points and risks

- **Running Costs** - Running costs are forecast to remain within plan.
- **Prescribing** – is forecasting an overspend of £0.3m which is based on seven month's prescribing data for 2014-15 and the profiles provided by NHS Business Services Authority. This now includes a projected £0.6m hit to the CCG re increase in Category M drugs from October 2014.
- **Enhanced Services** – A further review of Enhanced Services has been carried out and an underspend is expected of £1m. The majority of this is around underspends on Long Term Case Management and Over 75's with an overspend on Anti-coagulation. There is a view that the Over 75's LES could pick up during the last few months of the financial year but currently this is not reflected in the figures.
- **Retrospective Continuing Healthcare** – Work is still ongoing by the CSU to process as many retrospective claims as quickly as possible and before the end of the financial year. There will still be around 25%-35% of claims that will not have gone to panel by then.

SUMMARY OF TOTAL CCG POSITION

Description	Previous mths YTD variance	Previous Mths FOT Variance	Budget to Date Month 8	Actual to Date Month 8	Variance YTD Month 8	Annual Budget 14/15	Forecast Outturn 14/15	Forecast (surplus)/deficit
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1 Hospital & Community Health Services								
a) Rotherham NHS Foundation Trust - Acute	1,083	2,143	94,622	95,679	1,056	126,428	128,441	2,013
Rotherham NHS Foundation Trust - Community	0	0	26,680	26,680	0	35,413	35,413	0
b) Sheffield Teaching Hospitals NHS FT	81	128	15,937	15,954	17	21,230	21,320	91
c) Rotherham, Doncaster & South Humber FT	87	119	22,621	22,798	177	30,103	30,326	223
d) Doncaster & Bassetlaw Hospitals NHS FT	(505)	(569)	7,896	7,426	(470)	10,531	9,919	(612)
e) Ambulance and Patient Transport Services	15	102	7,828	7,879	52	10,360	10,412	52
f) Mental Health Other	(101)	328	2,092	1,795	(298)	2,790	2,877	87
g) Non Mental Health - Other	105	241	10,663	10,898	234	14,483	14,739	256
Sub Total	766	2,491	188,340	189,108	768	251,337	253,447	2,110
2 Primary Care								
a) Prescribing	(67)	156	33,907	34,148	242	44,922	45,180	258
b) Local Enhanced Services	(820)	(1,011)	2,674	1,647	(1,027)	3,560	2,549	(1,011)
c) Other Commissioned Primary Care Services	144	142	2,212	2,364	152	2,909	3,045	136
Sub Total	(743)	(714)	38,793	38,159	(633)	51,391	50,773	(618)
3 Corporate								
a) Corporate*	(11)	(35)	3,917	3,911	(7)	5,238	5,208	(29)
b) Recharge from CSU*	0	0	1,981	1,981	0	2,641	2,641	0
*of which £5.2m is annual running costs					0			
Sub Total	(11)	(35)	5,898	5,891	(7)	7,878	7,849	(29)
4 Partnership								
a) RMBC (inc Sec.256)	(16)	(24)	2,954	2,933	(22)	4,118	4,094	(24)
b) Continuing Care & Free Nursing Care	(60)	(874)	15,389	15,301	(88)	20,519	19,633	(886)
Sub Total	(76)	(898)	18,343	18,234	(110)	24,637	23,727	(911)
5 Central Budgets								
a) Internal Planned Surplus	(2,358)	(3,537)	2,653	0	(2,653)	3,537	0	(3,537)
b) Central Budgets	64	(845)	6,253	5,816	(436)	8,448	7,896	(552)
Sub Total	(2,294)	(4,382)	8,905	5,816	(3,089)	11,985	7,896	(4,089)
6 TOTAL FOR OPERATING ACTIVITIES	(2,358)	(3,537)	260,279	257,209	(3,070)	347,229	343,692	(3,537)