



Rotherham

Annual Report of the Individual Funding Request Panel

2012/2013

Lead Officer Janet Wade
Lead Director Chris Edwards

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Introduction

Under statutory legislation PCT's have a requirement to have in place a robust procedure for managing clinical requests for individual procedures outside the core delivered NHS services. These requests are known as Individual Funding Requests (IFR).

Background

A Yorkshire and Humber wide policy was adopted in January 2010 in response to the legal duties set out in the NHS constitution and Secretary of State's Directions to PCTs and Trusts. The key legal requirements are highlighted below:

- The NHS Constitution (January 2009): two rights relate specifically to the availability of medicines and other treatments
 - You have the right to drugs and treatments that have been recommended by NICE for use in the NHS, if your doctor/registered health practitioner says they are clinically appropriate for you.
 - You have the right to expect local decisions on funding of other drugs and treatments to be made rationally following a proper consideration of the evidence. If the local NHS decides not to fund a drug or treatment you and your doctor/registered health practitioner feel would be right for you, they will explain that decision to you.
- Directions to Primary Care Trusts and NHS Trusts concerning decisions about drugs and other treatment 2009 (Secretary of State, 12 March 2009), which set out primary care responsibilities on funding and commissioning health care interventions, and the duty to give reasons for decisions.
- Guiding principles for processes supporting local decision-making about medicines and a Handbook of Good Practice Guidance (Department of Health 2009).

An Individual Funding Request is appropriate where either the following applies:

- NHS Rotherham has a general policy not to fund a health care intervention for the specified indication but a doctor considers his/her patient to be 'exceptional' to that policy OR
- NHS Rotherham has no policy for the requested health care intervention and indication and the clinical circumstance is so rare that it is unlikely that any other patients will require the intervention.

This report reflects all requests received by the Individual Funding Request Panel Manager during the financial year 2011/12.

Pathway and Process

Triage

- Receives requests from providers.
- Assesses requests against existing policies and for completeness.
- Informs provider of approve or decline according to policy criteria.
- Requests further information to assist decision making.
- Forwards requests to Individual Funding Request Panel if exceptionality is indicated.

Individual Funding Request Panel

- Receives Individual Funding Requests from Triage.
- Funding decisions made and communicated to provider and patient.

Appeals Panel

- Receives case for appeal on paper.
- Provides procedural review.
- Decision of the Panel communicated to the PCT, the patient, and the provider.

Analysis of Requests

From April 11 to March 12 the Individual Funding Request Panel has received 267 requests. Of these 181 decisions were made at Triage by the IFR Manager, 78 approved, and 103 declined. A further 86 were forwarded to the Individual Funding Request Panel, 38 approved, 48 declined. There have been 151 requests approved at an approximate cost of £1,224,872. (See attached). More than half (161) of the requests received are Plastic Surgery referrals of which just over a third (65) have been approved. This is consistent with previous years. However these figures indicate that there is still a need to raise awareness of the Specialist Plastic Policy to reduce the number of inappropriate requests received by Individual Funding Request Panel.

The increase in home Total Parental Nutrition (TPN) from one (2011/12) to six this year is reflected in the increased costs recorded for drugs. However from the new financial year this will be recorded elsewhere as it is now the responsibility of the Specialised Commissioning Services.

Appeals

There have been no appeals against decisions made at the Individual Funding Request Panel during this financial year.

Plastic/Cosmetic Surgery

Plastic Surgery	Requests	Est. cost of procedure	Triage			IFR			Total Cost
			Agreed	Declined	Agreed Triage Cost	Agreed	Declined	Agreed IFR Cost	
Abdominoplasty	30	£2,182	6	14	£13,092	5	5	£10,910	£24,002
Arm/Thigh Skin Removal	5	£1,042	1	2	£1,042	1	1	£1,042	£2,084
Blephoroplasty	6	£888	3	2	£2,664	0	1	£0	£2,664
Breast Asymmetry	17	£1,725	6	9	£10,350	2	0	£3,450	£13,800
Breast Augmentation	12	£948	0	9	£0	2	1	£1,896	£1,896
Breast re-augmentation	3	£1,196	2		£2,392	1		£1,196	£3,588
Breast Reduction	39	£2,469	22	15	£54,318	0	2	£0	£54,318
Breast Surgery	2	£688	0	1	£0	1	0	£688	£688
Correction of Chest Wall Deformity	1	£688	0	0	£0	0	1	£0	£0
Correction of Webbed Toes	1	£574	0	1	£0	0	0	£0	£0
Ear Lobe Surgery	1	£701	0	0	£0	1	0	£701	£701
Gynaecomastia	5	£776	0	2	£0	0	3	£0	£0
Labioplasty	5	£574	1	1	£574	0	3	£0	£574
Laser Hair removal	9	£1,392	0	3	£0	0	6	£0	£0
Liposuction for Lymphoedema	1		0	0		0	1		
Mastopexy	2	£1,148	0	1	£0	1	0	£1,148	£1,148
Pinnoplasty	5	£1,065	4	1	£4,260	0	0	£0	£4,260
Removal of facial lesion	6	£574	1	3	£574	1	1	£574	£1,148
Removal of Fatty Lump	2	£688	1	0	£688	1	0	£688	£1,376
Rhinoplasty	3	£1,105	1	1	£1,105	0	1	£0	£1,105
Scar Revision	2	£574	0	0	£0	0	2	£0	£0
Vaniqua Cream	1	£55	0	1	£0	0	0	£0	£0
Varicose Veins	1	£574	0	0	£0	1	0	£574	£574
Xanthelasma removal	2	£574	0	2	£0	0	0	£0	£0
Total	161		48	68	£91,059	17	28	£21,031	£113,926

Drug	Requests	Est. cost of procedure	Triage			IFR			Total Cost
			Agreed	Declined	Agreed Triage Cost	Agreed	Declined	Agreed IFR Cost	
Adalimumab	1	£5,000	1	0	£5,000	0	0	£0	£5,000
Avastin	2	£493	0	1	£0	1	0	£493	£493
Azacitidine	1	£4,494	0	0	£0	1	0	£4,494	£4,494
Bendamustine & Rituximab	2	£20,135	0	0	£0	2	0	£40,270	£40,270
Botulinum Toxin Type A	4	£1,027	3	0	£3,081	1	0	£1,027	£4,108
Denosumab	2	£264	2	0	£528	0	0	£0	£528
Etanercept (Anti-TNF Therapy)	1	£2,848	0	0	£0	1	0	£2,848	£2,848
Ex-Jade	1	£25,000	0	0	£0	0	1	£0	£0
Fingolimod	1	£12,400	0	0	£0	1	0	£12,400	£12,400
Home TPN	6	£85,500	5	0	£427,500	1	0	£85,500	£513,000
Horse ATG	1	£12,450	0	0	£0	0	1	£0	£0
Infliximab	2	£2,014	0	1	£0	1	0	£2,014	£2,014
Liposomal Cytarabine	1	£13,219	1	0	£13,219	0	0	£0	£13,219
Lucentis Injections	1	£1,196	1	0	£1,196	0	0	£0	£1,196
Mesenchymal stem cells	1	£2,500	1	0	£2,500	0	0	£0	£2,500
Omalizumab	2	£27,000	1	0	£27,000	0	1	£0	£27,000
Rituximab	5	£6,680	0	0	£0	5	0	£33,400	£33,400
Sildenafil	1	£6,759	0	0	£0	1	0	£6,759	£6,759
Thrombopoietin simulator	1	£340	0	0	£0	1	0	£340	£340
Tocilizumab	2	£4,648	1	0	£4,648	1	0	£4,648	£9,296
Total	38		16	2	£484,672	17	3	£194,193	£678,865

Treatment/Intervention

Treatment/Intervention	Requests	Est. cost of procedure	Triage			I F R			Total Cost
			Agreed	Declined	Agreed Triage Cost	Agreed	Declined	Agreed IFR Cost	
Adenotonsilectomy	1	£688	1	0	£688	0	0	£0	£688
Acrylic Cranioplasty	1	£4,400	0	0	£0	1	0	£4,400	£4,400
AF Ablation	1	£5,460	1	0	£5,460	0	0	£0	£5,460
BAHA/BCHA	3	£4,135	3	0	£12,405	0	0	£0	£12,405
Circumcision	1	£574	0	1	£0	0	0	£0	£0
Cyber Knife	1	£16,250	0	0	£0	1	0	£16,250	£16,250
Diagnostic Nerve Root Injections	3		3	0	£0	0	0	£0	£0
Facet Joint Injections	1		0	0	£0	0	1	£0	£0
Esophyx	1		0	0	£0	0	1	£0	£0
Omnipod Insulin Pump	1	£924	1	0	£924	0	0	£0	£924
Facial Neurectomy	1	£3,000	0	0	£0	1	0	£3,000	£3,000
Sperm Storage	2	£500	1	0	£500	1	0	£500	£1,000
Hymenoplasty	1	£574	0	1	£0	0	0	£0	£0
Human Hair Wig	1	£800	0	0	£0	1	0	£800	£800
Hyperbaric Oxygen Therapy	1	£375	0	1	£0	0	0	£0	£0
IUI/IVF	6	£3,444	0	2	£0	1	3	£3,444	£3,444
NIV (BiPAP)	3	£5,310	3	0	£15,930	0	0	£0	£15,930
Pleurex Catheters	3	£2,078	3	0	£6,234	0	0	£0	£6,234
Custom Made Prosthesis	2	£11,000	1	0	£11,000	1	0	£11,000	£22,000
PET Scan	1	£1,040	1	0	£1,040	0	0	£0	£1,040
PVA	4	£5,376	3	0	£16,128	1	0	£5,376	£21,504
PVI	2	£5,431	2	0	£10,862	0	0	£0	£10,862
Removal of Gastric Band	1		0	0	£0	1	0	£0	£0
Reversal of Sterilisation/Vasectomy	3		0	2	£0	0	1	£0	£0
Sacral Nerve Stimulation	2	£9,170	1	0	£9,170	1	0	£9,170	£18,340
Stereotactic Radiosurgery	4	£4,000	4	0	£16,000	0	0	£0	£16,000
Upright MRI	1	£350	0	0	£0	0	1	£0	£0

treatment not taken place

treatment was withdrawn from use in Europe

treatment not taken place

Replacement Battery for Bacloofen Pump	1	£9,500	1	0	£9,500	0	0	£0	£9,500
Vasectomy under GA	1		0	0	£0	1	0	£0	£0
Vestibular Function Test	3	£278	2	0	£556	1	0	£278	£834
Total	57		31	7	£116,397	12	7	£54,218	£170,615

this patient changed his mind and did not go ahead with the procedure

Out of Area Treatment

Out of area treatment	Requests	Est. cost of procedure	Triage			IFR			Total Cost
			Agreed	Declined	Agreed Triage Cost	Agreed	Declined	Agreed IFR Cost	
Referral to Kings College Hospital for Deep Brain Stimulation (child)	1	£1,296	0	0		1	0	£1,296	£1,296
Referral to Sheffield Lymphoedema Service	2		1	1	£0	0	0	£0	£0
Total	3		1	1	£0	1	-	£1,296	£1,296

treatment not taken place

Children's Services

Childrens Services	Requests	Est. cost of procedure	Triage			IFR			Total Cost
			Agreed	Declined	Agreed Triage Cost	Agreed	Declined	Agreed IFR Cost	
Assessment at Bobath Centre	4	£3,600	4	0	£14,400	0	0	£0	£14,400
Referral to SCH over 16years	2	£2,427	2	0	£4,854	0	0	£0	£4,854
Referral to The Children's Trust at Tadworth	2	£120,458	1	0	£120,458	1	0	£120,458	£240,916
Total	8		7	-	139,712	1	-	120,458	260,170

COMPARISON OF IFR REQUESTS

	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12	2012/13
Children	£ 170,515.00	£ 312,107.00	£ 42,521.00	£ 464,306.00	£ 164,199.00	£ 22,960.00	£ 14,814.00	£ 18,433.00	£ 260,170.00
Plastic Surgery	£ 43,130.00	£ 78,270.00	£ 91,616.00	£ 113,825.87	£ 100,904.00	£107,339.00	£ 97,331.00	£ 85,947.00	£ 113,926.00
Drugs	£ 544,363.00	£ 168,730.00	£ 111,619.00	£ 505,221.71	£ 439,170.00	£247,255.00	£165,789.00	£472,207.00	£ 678,865.00
Psychiatry/Counselling	£ 106,862.00	£ 45,646.00	£ 180.00	£ 180.00	£ 50,245.14	£198,780.00			
Out of Area Specialist Placement	£ 153,270.00	£ 316,369.00	£ 859,768.00	£ 12,320.00	£ 39,101.28	£ 183.00	£138,000.00	£ 5,381.00	£ 1,296.00
Treatment/Intervention	£ 171,986.00	£ 366,971.00	£ 183,362.00	£ 328,329.00	£ 748,373.00	£310,283.00	£527,406.00	£311,697.00	£ 170,615.00
Total	£ 1,190,116.00	£ 1,288,093.00	£ 1,289,066.00	£ 1,424,182.50	£ 1,541,992.42	£886,800.00	£943,341.00	£893,665.00	£ 1,224,872.00

Total number of requests	374	394	248	260	307	289	287	276	267
Total number agreed	203	284	128	148	164	139	128	130	151

COMPARISON OF DECISIONS MADE

	Total Requests	Triage		I F R	
		Agreed	Declined	Agreed	Declined
Plastic Surgery	161	48	68	17	28
Childrens	8	7	0	1	0
Treatment/Procedures	57	31	7	12	7
Out of Area Reqeusts	3	1	1	1	0
Drugs	38	16	2	17	3
Total	267	103	78	48	38